

1 STANLEY G. HILTON, BAR NO. 65990
LAW OFFICES OF STANLEY G. HILTON.
2 2570 North First Street, Ste. 200
San Jose, California 95131
3 Tel: (415) 786 4821
Fax: (415) 439 4963
4 E MAIL: LOUCASLOUKAS@YAHOO.COM

5 Attorney for Plaintiff
REGINA JIMENEZ
6

7 UNITED STATES DISTRICT COURT
8 NORTHERN DISTRICT OF CALIFORNIA
9

10 REGINA JIMENEZ,)	No. C 07 3360 MJJ
11)	
12 Plaintiff,)	ORDER DENYING DEFENDANT NASD
13)	AND FINRA'S MOTION TO DISMISS
14 vs.)	
15 LAWRENCE IRA PROZAN, DBA)	DATE FEB 12 2008
16 PROZAN FINANCIAL SERVICES,)	
17 MULTI-FINANCIAL SECURITIES)	TIME 930 AM
18 CORP. and DOES 1 through 20,)	
19 inclusive,)	JUDGE: JENKINS
20)	
21 Defendants.)	
22)	
23)	
24)	
25)	
26)	
27)	
28)	

19 FOLLOWING ARGUMENT FEB 12 2008 the court DENIES DEFENDANT NASD AND
20 FINRA'S MOTION TO DISMISS. IN THE ALTERNATIVE THE COURT GRANTS THE
21 MOTION WITH 30 DAYS LEAVE TO AMEND THE COMPLAINT/MOTION TO VACATE
22 ARBITRATION AWARD.

23 DATE _____
24
25
26 _____

27 USDJ
28

ORD DENY NASD/FINRA MOTION TO DISMISS
JIMENEZ VS PROZAN ET AL NO C 07 3360 MJJ

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

ORD DENY NASD/FINRA MOTION TO DISMISS
JIMENEZ VS PROZAN ET AL NO C 07 3360 MJJ